

National Institute of Urban Affairs
1 Floor, Core 4B India Habitat Centre
Lodhi Road, New Delhi –110003

Dated: 29.12.2022

RFP for Future of Special Purpose Vehicles post the Smart Cities Mission
Replies to the Pre-Bid Queries

S. No.	RFP Clause and Page Number	Description as per RFP	Pre-bid queries	Replies / remarks																																							
A	M/s Almondz Global Securities Limited																																										
1	Clause 1.5 Activities and timelines for the study of TOR Page 6 of RFP	Clause 1.5 Activities and timelines for the study of TOR Page 6 of RFP. <table border="1"> <thead> <tr> <th>#</th> <th>Activity</th> <th>Timeline</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>Assessment of the diagnostic and the preliminary study</td> <td>Week 1-4</td> </tr> <tr> <td>2.</td> <td>Stakeholders Consultations</td> <td>Week 1-4</td> </tr> <tr> <td>3.</td> <td>In person workshops with CEOs of all 100 SPVs</td> <td>Week 4-6</td> </tr> <tr> <td>4.</td> <td>Drafting of the final report</td> <td>Week 6-8</td> </tr> <tr> <td>5.</td> <td>Validation workshop with MoHUA and NIUA for the initial findings and recommendations</td> <td>Week 11</td> </tr> </tbody> </table>	#	Activity	Timeline	1.	Assessment of the diagnostic and the preliminary study	Week 1-4	2.	Stakeholders Consultations	Week 1-4	3.	In person workshops with CEOs of all 100 SPVs	Week 4-6	4.	Drafting of the final report	Week 6-8	5.	Validation workshop with MoHUA and NIUA for the initial findings and recommendations	Week 11	This clause shall be read as stated below: <table border="1"> <thead> <tr> <th>#</th> <th>Activity</th> <th>Timeline</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>Assessment of the diagnostic and the preliminary study</td> <td>Week 1-4</td> </tr> <tr> <td>2.</td> <td>Stakeholders Consultations</td> <td>Week 4-6</td> </tr> <tr> <td>3.</td> <td>In person workshops with CEOs of all 100 SPVs</td> <td>Week 6-8</td> </tr> <tr> <td>4.</td> <td>Drafting of the final report</td> <td>Week 8-10</td> </tr> <tr> <td>5.</td> <td>Validation workshop with MoHUA and NIUA for the initial findings and recommendations</td> <td>Week 13</td> </tr> <tr> <td>6.</td> <td>Submission of the final report and allied documents</td> <td>Week 14</td> </tr> </tbody> </table> Request the authority to kindly consider the same.	#	Activity	Timeline	1.	Assessment of the diagnostic and the preliminary study	Week 1-4	2.	Stakeholders Consultations	Week 4-6	3.	In person workshops with CEOs of all 100 SPVs	Week 6-8	4.	Drafting of the final report	Week 8-10	5.	Validation workshop with MoHUA and NIUA for the initial findings and recommendations	Week 13	6.	Submission of the final report and allied documents	Week 14	The activity at S. No. 1 Assessment of the diagnostic and the preliminary study and at S. No. 2 Stakeholders Consultations may be carried out parallel. No Change. As per RFP.
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2	Clause 1.7 Payment schedules and Conditions Page 7 of RFP.	<p>Clause 1.7 Payment schedules and Conditions Page 7 of RFP.</p> <p>The Financial Proposal submitted by the consultant should be comprehensive to include the consulting fee, workshops, travel, digital tools for survey, webinars etc, printing and other stationery costs, other miscellaneous costs.</p> <p>The contract amount of the selected Consultant will be paid as per following stages of the payment –</p> <table border="1"> <thead> <tr> <th>S. No</th> <th>Description</th> <th>Payment</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>Submission of action plan and questionnaire within a week.</td> <td>40%</td> </tr> <tr> <td>2.</td> <td>Submission of the final report and allied documents</td> <td>60%</td> </tr> </tbody> </table>	S. No	Description	Payment	1.	Submission of action plan and questionnaire within a week.	40%	2.	Submission of the final report and allied documents	60%		<p>This clause shall be read as stated below: The Financial Proposal submitted by the consultant should be comprehensive to include the consulting fee, workshops, travel, digital tools for survey, webinars etc, printing and other stationery costs, other miscellaneous costs. The contract amount of the selected Consultant will be paid as per following stages of the payment –</p> <table border="1"> <thead> <tr> <th>S. No</th> <th>Description</th> <th>Payment</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>Submission of action plan and questionnaire within a week.</td> <td>40%</td> </tr> <tr> <td>2.</td> <td>Submission for draft report for validation workshop.</td> <td>30%</td> </tr> <tr> <td>3.</td> <td>Submission of final report & allied document</td> <td>30%</td> </tr> </tbody> </table>	S. No	Description	Payment	1.	Submission of action plan and questionnaire within a week.	40%	2.	Submission for draft report for validation workshop.	30%	3.	Submission of final report & allied document	30%	No Change. As per RFP
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3	Clause 2 subclause 3(A) FIRM CREDENTIALS (40) of Evaluation and Qualification Criteria. Page 8 of RFP	<p>Clause 2 subclause 3(A) FIRM CREDENTIALS (40) of Evaluation and Qualification Criteria. Page 8 of RFP</p> <p>Similar / Relevant projects functionalities/services developed (Experience in similar assignments and studies – Completed and Ongoing) – Minimum two assignments.</p>		<p>It is requested to Authority to kindly clarify, what all type of project comes under the definition of similar / relevant projects.</p>	<p>Similar work means ‘Conducting a comprehensive situation analysis through primary data collection across SPVs/ULBs or Government Bodies in Urban Sector’</p>																					

		Supporting Documents required – Copy of Contract/ Work Order and Completion Certificates from the Client OR Copy of Contract / Work Order and Self-Certificate of Completion/Ongoing certified by the Authorized Signatory on the Letter Head of the Company with relevant information like name of the assignment, date of start, date of completion, contract amount and total payment etc.		
B	Ingenieria Consultants Pvt Ltd.			
1	1. Eligibility Criteria (i) Page No-08	1. Eligibility Criteria i. The Consultant should be a Public Ltd./Pvt Ltd./NGO/Society and must be an Indian Resident/Indian Firm/Indian Company with proven track record and previous experience in designing similar solutions. Joint Venture / Consortium is not allowed.	We request you to kindly allow JV as per make in India initiatives by giving chance to the associates for implementation support by using sectoral support as in many centrally sponsored schemes this is provisioned.	Joint Venture is not allowed. No Change. As per RFP.
2			In case if JV is not allowed, we kindly request you to pls allow the Associate partner, as in many centrally sponsored schemes this is provisioned.	No Change. As per RFP.
3	3 A Firm Credentials (40) Page No-09	Similar / Relevant projects functionalities/services developed (Experience in similar assignments and studies – Completed and Ongoing) – Minimum two assignments.	We request you to pls modify this clause as (Experience in similar assignments and studies such as PMC for under Smart City Mission. The agency those who have worked on SCM PMC has detailed information on future	No Change. As per RFP.

			implementation of SCM projects and working of SPV. Therefore this may bring good results and will not restrict to certain category. The marks allotted under this category should be reduced to 10 instead of 20.	
4	1A, Page No-08	Understanding of Smart Cities Mission (SCM) and working of Special Purpose Vehicles (SPVs) – 15 Marks	The marks allotted under this category should be reduced to 10 instead of 15.	No Change. As per RFP.
5	1B, Page No-08	Approach and methodology - Observations on the objectives & Comprehensiveness of the proposal (i.e. coverage of requirements in line with TOR) – 15 Marks	The marks allotted under this category should be reduced to 10 instead of 15.	No Change. As per RFP.
6	2A, Page No-08	Adequate planning and phasing of assignment for conducting survey, virtual workshops and regional workshops– 15 Marks	The marks allotted under this category should be reduced to 10 instead of 15.	No Change. As per RFP.
7	2B, Page No-08	Coherency of work plan with approach and methodology– 15 Marks	The marks allotted under this category should be reduced to 10 instead of 15.	No Change. As per RFP.
8	3 B Team (20) Page No-09	Composition and quality of proposed team - Profile of the team and track record in handling similar assignments	Since this is QCBS and team intensive assignment, The marks allotted under this category should be increased to 50 instead of 20.	No Change. As per RFP.
C	Centre for Policy Research			
1	On page 6	Activities and Timelines for the Study	1. Does the <i>diagnostic and the preliminary study</i> refer to the study	1. Both of the assignments are independent of each other. The selected Consultant will be

			<p>mentioned in para 1.4 or preliminary diagnostic as part of this study?</p> <p>2. We also anticipate conducting <u>concurrent</u> diagnostic analysis of stakeholder consultations and in-person workshops throughout weeks 1 - 8 of the study period. Is that permissible?</p>	<p>provided findings of initial study mentioned in Clause 1.4.</p> <p>2. Yes, it will be permissible.</p>
2	On page 9	<i>Composition and quality of proposed team</i>	Are there any specific skills/qualifications that are being considered?	The relevant skills/qualifications and experience of the Proposed Team will be considered in the evaluation.
D				
Nangia Anderson LLP				
1	Clause 1.4 Page 6	In this direction, NIUA has assigned initial study to a different Consultant and same is likely to be completed soon. The selected Consultant against this RFP will be provided findings and reports for further guidance and carrying this assignment forward.	<p>Please clarify the following points.</p> <p>a) When the initial study assignment would be completed? Provide the tentative date.</p> <p>b) Number of manpower with expertise would be decided based on the report received to carryout forward.</p> <p>c) Is one consultant selected or more to carried out for this assignment?</p> <p>d) Assignment of this contract would be start after receiving the initial study carry out by other consultants.</p>	<p>a) Both of the assignments are independent of each other. The selected Consultant will be provided findings of initial study mentioned in Clause 1.4.</p> <p>b) The Consultant has to submit his best Technical Proposal with proposed team composition.</p> <p>c) Only One Consultant will be selected against this RFP.</p>

				d) Both of the assignments and independent of each other.
2	Clause 1.5 Page 6	Activities and timelines for the study.	<p>It is understood that there are many stakeholders in each Smart City and State Government owned these cities. Hence, please clarify the following;</p> <ol style="list-style-type: none"> 1. Is in person meeting required in each Smart city? If yes, then it will take more than to complete the task. 2. If consultation would be done through the workshop/ conference, then, it is State Government responsibility to instruct the respective concern to attend the same, else purpose of meeting will not be fulfilled. <p>Kindly confirm the understanding.</p>	<p>No Change. As per RFP.</p> <p>In person meetings shall be conducted via workshops.</p> <p>The Consultant will be responsible for co-ordination. NIUA/MoHUA will provide necessary assistance.</p>
3	Clause 1.5 Page 6	Activities and timelines for the study	<p>It is understood that invitation for Stakeholders consultants and In person workshops with CEOs of all 100 SPVs will be sent through ministry only as Govt. Official might not be taken interest on private consultant.</p> <p>Kindly confirm the understanding.</p>	<p>The Consultant will be responsible for co-ordination. NIUA/MoHUA will provide necessary assistance.</p>
4	Clause 1.5 Page 6	Activities and timelines for the study	<p>Timeline given to carry out the study not sufficient for timely completion, hence requested to enhance the same.</p>	<p>No Change. As per RFP</p>
5	Clause 1.6 Deliverables Page 7	Deliverables	<p>E-repository of all document and data obtained from the SPVs;</p> <p>It is understood that Consultant need to collect the following documents from each SPV under</p>	<p>Yes. As per RFP</p>

			<p>PDF format during the in person workshop with CEOs of all 100 SPCs.</p> <ol style="list-style-type: none"> 1. Feasibility and Detailed Project report vetted by Concern Technical Institutes 2. Tender Documents/RFP floated by entity including pre-bid queries, if any, amendment and Corrigendum issued. 3. Contract Agreement/MOU 4. Project's Progress and payment Status 5. Project's Operation and Maintenance Status 6. Money Transfer to O&M Account <p>Kindly confirm the understanding</p>	
6	Page 10		<p>Kindly confirm that technical proposal would be submitted as per requirement given in Form tech 2 or Annex A/B.</p>	<p>Technical Proposal to be submitted as per Form Tech 2.</p> <p>Annex A and Annex B are mentioned in the Standard Contract Form to be signed with the selected Consultant.</p>
7	Page 23		<p>Annexure A & Annex B is overlapping each other.</p> <p>Can we submit the One Technical Proposal and One Financial Proposal? Please confirm.</p>	<p>Annex A and Annex B are mentioned in the Standard Contract Form to be prepared and signed with the selected Consultant based on his proposal.</p> <p>Yes, only one technical and one financial proposal in separate envelopes are required.</p>
8	ELIGIBILITY CRITERIA Page 8	<p>On the last date of submission of the Proposal, the Consultant should not be blacklisted by Central Government/State</p>	<p>We request to amend the clause as: In last 10 years, the bidder should not be blacklisted by any government agency in India for unsatisfactory past performance, corrupt,</p>	<p>No Change. As per RFP</p>



		Governments/Union Territories/PSUs in India for unsatisfactory past performance, corrupt, fraudulent or any other unethical business practices. The form for self – certified undertaking is attached in Tech Forms.	fraudulent or any other unethical business practices.	
9		Closing Date: 06th January, 2023	Due to the festive season, we request to allow 15 days extension.	No Change. As per RFP

**Program Director – CITIIS
National Institute of Urban Affairs**